

# Corporate Risk Register 2022-23

No. of Risks Assessed  
Current no. of Red Risks  
Current no. of Amber Risks  
Current no. of Green Risks

Uncontrolled	Controlled
23	23
12	5
9	9
2	9

Risk update status
Checked - No Changes
Checked - Risk Reduced
Checked - Risk Increased
Outstanding

Q2
21
1
1
0

Q3
18
2
3
0

ID	Date Added	Risk Category	Risk Description	Uncontrolled Risk			Control Measures	Mitigated Risk			Risk Owner	Risks checked and Commentary provided			
				Impact	Likelihood	Rating		Impact	Likelihood	Rating		30th September 2022		31st December 2022	
1		Political	Effectiveness of elected Members could damage the reputation and culture of BDC	3	3	9	<ul style="list-style-type: none"><li>• Cabinet &amp; Leader awareness and development</li><li>• Code of conduct</li><li>• Member development strategy/ supporting roles &amp; responsibilities</li><li>• Recruitment/member succession</li><li>• Audit &amp; Standards Committee</li><li>• Training/Cabinet development</li></ul>	3	2	6	Corporate Risk Group	No Changes		No Changes	
2		Political	Blaby District Plan is not deliverable within available resources. If we do not deliver the corporate objectives the quality of life of residents and those who work in the district will not be improved.	4	3	12	<ul style="list-style-type: none"><li>• All service plans aligned to Blaby District Plan objectives</li><li>• Be clear about expected outcomes</li><li>• Monitor delivery of Blaby District Plan</li><li>• Review Blaby District Plan priorities regularly</li></ul>	4	2	8	Corporate Risk Group	No Changes		No Changes	
7		Competitive Reputational Social	Failure to have effective safeguarding arrangements and a plan in place to safeguard children and vulnerable adults may place people in danger	5	3	15	<ul style="list-style-type: none"><li>• Active Member of District DSO Group</li><li>• Continuous training of designated officers, review of policies</li><li>• Ensuring enough trained officers are available</li><li>• Policies in place and effectively communicated to staff</li></ul>	4	2	8	Corporate Risk Group	No Changes	Bronze training rolled out to staff via Athena. Training for DSOs to follow in mid-October.	No Changes	
8		Fraud Legal	Failure to ensure adherence to internal control arrangements would leave the council open to fraud, affecting reputation. If adequate fraud & corruption prevention arrangements are not in place this leaves the council open to potential financial losses, wrong doing, breaches of the councils procedures & policies & legal responsibilities.	5	3	15	<ul style="list-style-type: none"><li>• Anti Fraud &amp; Corruption/Benefit Fraud policies reviewed &amp; adopted. Staff/Member training</li><li>• Employees adhere to governance procedures</li><li>• Ensure we do not employ staff with false records</li></ul>	4	2	8	Corporate Risk Group	No Changes	Whistleblowing policy updated and published on the Website. Also Modern Slavery Statement recently updated.	No Changes	
11		Professional	Failure to recruit and retain the right people for the right jobs.	4	4	16	<ul style="list-style-type: none"><li>• Learning and development provision, including skills and training needs analysis</li><li>• Supporting Employee Performance policies and practices</li><li>• Workforce planning including succession planning and use of market supplements where applicable.</li></ul>	4	3	12	Corporate Risk Group	Risk Reduced - Add to Change Log	More flexible recruitment practices being introduced, e.g., application by way of CV rather than on line forms.	No Changes	
13		Professional	Lack of effective Emergency Planning and Business Continuity arrangements	5	3	15	<ul style="list-style-type: none"><li>• All key EP &amp; BC documents on Resilience Direct</li><li>• Internal EP &amp; BC working group meeting</li><li>• Periodic training with SLT &amp; key officers of plans</li><li>• Out of hours Emergency Contact Centre Contract (First Call)</li><li>• Partnership with the Leicestershire Resilience Forum</li><li>• Senior Leadership Team On Call Rota</li></ul>	4	3	12	Corporate Risk Group	No Changes		Risk Increased - Add to Change Log	Increased on the back of recent server downtime. Business Continuity plans also in need of refresh to reflect change in management structure.

14		Financial	Impact on financial position as a result of lack of certainty around future funding streams (i.e. Business Rates, Fair Funding, New Homes Bonus and Council Tax Equalisation), and cost of living crisis.	4	4	16	<ul style="list-style-type: none"> <li>Awareness &amp; Understanding of national policy changes</li> <li>Balanced budget approved</li> <li>Deliver Action Plan of Commercialisation Strategy</li> <li>Maintain an awareness of changing priorities</li> <li>MTFS in place</li> <li>Strategy to maximise growth of Business Rates</li> <li>Working with significant partners</li> <li>Maintain adequate level of reserves</li> <li>Financial plan now in place with measures to reduce the budget gap.</li> </ul>	4	4	16	Corporate Risk Group	No Changes	Known budget gap caused by inflationary pressures arising from the cost of living crisis. However, there remains a high level of uncertainty over when any changes to local government funding may take place. Although it is unlikely that changes will be implemented before 2024/25, the Chancellor's mini budget may lead to a reduction in funding as early as next financial year.	No Changes	Review once financial settlement is known. Measures to close the gap are in place which may reduce the immediate risk. Ongoing challenge exists in relation to increasing supplier costs, in particular the impact on the Capital Programme, but also with rising utility costs.
18		Legal	Failure to comply with legislation, resulting in us not meeting our statutory obligations The organisation promotes and demonstrates the principles and values of good governance	2	3	6	<ul style="list-style-type: none"> <li>Constitution regularly reviewed and kept up to date</li> <li>Annual Governance Statement</li> <li>Independent Member Committees</li> <li>Skilled workforce</li> <li>Training/CPD</li> </ul>	2	2	4	Corporate Risk Group	No Changes		No Changes	
19		Contractual Partnership	Contracts may fail to deliver intended outcomes if not managed effectively, and improvements/efficiencies may not be delivered.	3	3	9	<ul style="list-style-type: none"> <li>Ensure that effective contract management arrangements are put in place as part of procurement process.</li> <li>Manage SLM Contract through quarterly governance meetings.</li> </ul>	3	2	6	Corporate Risk Group	No Changes		No Changes	
20	07/01/2020	Reputational Financial	Failure to host partnerships and engage with partners effectively may lead to reputational damage and financial loss to the Council.	4	4	16	<ul style="list-style-type: none"> <li>Ensure that regular Board meetings are in place, and recognise our responsibility in respect of partners' financial position.</li> <li>Regular monitoring of budgets and financial implications.</li> <li>Inclusion on annual Internal Audit Plan.</li> </ul>	3	3	9	Corporate Risk Group	No Changes		No Changes	
26		Physical	Failure to identify, record, monitor and report health and safety risks.	4	4	16	<ul style="list-style-type: none"> <li>Effective Health &amp; Safety Committee</li> <li>Effective Health &amp; Safety procedures</li> <li>Service blueprints</li> </ul>	4	2	8	Corporate Risk Group	No Changes		No Changes	New H&S Advisor in post but still at the training stage.
29		Financial	Closure, downsizing of premises or relocation of a major district employer which may cause significant unemployment, retraining requirements and a potential loss of Business Rates	4	4	16	<ul style="list-style-type: none"> <li>Review the Council's Economic Development Strategy and resources</li> <li>Develop the work and skills capacity</li> <li>Engage regularly with businesses to understand requirements</li> <li>Work with authorities, landowners, developers &amp; agents</li> <li>Work with LLEP to secure funding for business development initiatives &amp; schemes</li> </ul>	4	4	16	Corporate Risk Group	No Changes		No Changes	
30		Political	Failure to provide affordable housing and supporting infrastructure in the district in line with identified need.	4	4	16	<ul style="list-style-type: none"> <li>Agreement on countywide housing distribution</li> <li>Council adoption of appropriate housing needs policies</li> <li>Feedback to consultation processes</li> <li>Input into Strategic Planning Groups</li> <li>Review of options to deliver affordable housing &amp; balanced housing market</li> </ul>	4	4	16	Corporate Risk Group	No Changes		No Changes	
32		Financial Social	Structural, legislative and budgetary changes in other Public Sector organisations (eg DWP, Health, Police, Leicestershire CC).	4	4	16	<ul style="list-style-type: none"> <li>Maintain awareness &amp; respond to implications of emerging changes in public sector delivery organisations</li> </ul>	4	3	12	Corporate Risk Group	Risk Increased - Add to Change Log	Leicestershire County Council has announced that it needs to make significant cuts to meet its budget shortfall, some of which may impact on the level of external funding available to districts.	No Changes	Subject to review in light of the publication of County's MTFS.
46		Social	That the Council is unable to deliver a new local plan and cannot identify 5 years worth of housing land required by National Policy, which could make relevant local policies out of date when determining planning applications.	3	4	12	<ul style="list-style-type: none"> <li>To identify additional sites for residential development through the Delivery DPD</li> <li>To release appropriate sites for residential development</li> </ul>	3	3	9	Corporate Risk Group	No Changes		No Changes	

49		Contractual Partnership	Transition to new ICT Partnership arrangements	5	4	20	• Regular Governance Board Meeting & Strategic Board Meetings	5	3	15	Corporate Risk Group	No Changes		No Changes	Not currently in contract. Contractual arrangements to be revised in line with Revenues & Benefits Partnership.
50	16/03/21	Technological Reputational	Failure of ICT systems leaves us unable to deliver services.	5	4	20	• Effective security measures in place. • External support from cyber experts	4	4	16	Corporate Risk Group	No Changes	Currently reviewing the level of insurance cover in place, and exploring the potential for shared cover to mitigate against cyber attacks. The Council maintains a range of robust security controls, including external support from cyber experts.	Risk Increased - Add to Change Log	Recent server downtime giving rise to additional investment into a range of resilience measures.
51	24/06/21	Technological Reputational	ICT Security breaches and non-compliance with Government security standards	5	4	20	Perimeter Security, Systems and data is backed up, Annual IT Health checks, Cyber Essentials and PSN accreditation, Security Working Group, policies in place to support and advise staff, ICT monthly articles and newsletters aimed at increasing staff cyber awareness, Encryption detection software, Antivirus software, Mail Filtering, Sophos Unified Threat Manager, Intrusion detection software.	4	4	16	ICT	No Changes		Risk Increased - Add to Change Log	External support engaged to review a range of measures.
52	08/10/21	Financial Social	Cost of living crisis leading to increased demand for services.	4	5	20	• CAB service • Earmarked reserve in place to support cases of serious hardship. • Communication with food banks to be able to provide support • Supporting residents in times of crisis	3	4	12	Corporate Risk Group	No Changes	On 20th September, Council approved a range of measures to provide support to residents and businesses during the current period of economic uncertainty. These measures include making available resources from our hardship fund, signposting to other organisations, supporting the increased demand for temporary accommodation, provision of cost of living grants to community groups, and recruitment of a Cost of Living Officer.	Risk Reduced Add to Change Log	Hardship fund in place to mitigate service demand.
53	17/12/21	Financial, Reputational, Health & Safety	Huncote Leisure Centre - risk of elevated levels of methane from landfill site	5	3	15	• Additional monitoring and venting wells installed. • Further works planned. • Site and building closed. • Financial provision approved by Members. Regular communication with partners on site.	4	3	12	Corporate Risk Group	No Changes	Works commencing 31st October.	No Changes	
54	31/12/21	Environmental/Financial, Reputational	Budgetary pressures prevent us from achieving our 2030 net zero carbon reduction target.	4	3	12	• Carbon reduction action plan in place. • Dedicated officer working on "green" initiatives. • Cross service working group in place to maintain high profile and awareness. • Fleet replacement strategy under review.	4	2	8	Corporate Risk Group	No Changes	Additional budget approved by Council earlier in the financial year to allow vehicles to be converted from diesel to HVO. Conversion of a small number of vehicles has been undertaken; budgetary impact to be kept under review.	No Changes	
55	17/03/22	Physical	Employee wellbeing is compromised due to revised working practices, dealing with ongoing emergency issues, and the general cost of living crisis.	4	4	16	• Policies and procedures being revised and reviewed together with guidance documents for staff and managers • Clear communications to all staff with regards to arrangements and options • Constant review with teams and individuals • Being flexible with working policies. • Home visit guidance in place. • Guidance provided to staff to on how to reduce risk of viral transmission when visiting/working at other sites and in customers' homes.	4	3	12	Corporate Risk Group	No Changes		No Changes	

56	17/03/22	Social Reputational	Incident/Outbreak within the Council leading to significantly reduced staffing levels	4	2	8	New. Living with COVID guidance has been published. Flu clinics in place	3	2	6	Corporate Risk Group	No Changes		Risk Reduced Add to Change Log	Currently not experiencing a reduction in staffing levels due to outbreaks of Covid-19 or flu.
----	----------	---------------------	---------------------------------------------------------------------------------------	---	---	---	-----------------------------------------------------------------------------	---	---	---	----------------------	------------	--	-----------------------------------	------------------------------------------------------------------------------------------------